



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Peter J. Brickfield, et al.

Serial No.: 10/092,507

Group Art Unit: 2121

Filed: March 8, 2002

Examiner: not yet assigned

For: **AUTOMATIC ENERGY MANAGEMENT AND ENERGY
CONSUMPTION REDUCTION, ESPECIALLY IN COMMERCIAL AND
MULTI-BUILDING SYSTEMS**

Commissioner of Patents and Trademarks
Washington, D.C. 20231

RESPONSE TO NOTIFICATION OF MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application
dated April 10, 2002, submitted herewith are the following

- 1) Copy of form PTO Notice 1533;
- 2) Declaration and Power of Attorney document signed by the inventors;
- 3) Check in the amount of \$65.00 for payment of the surcharge; and
- 4) Corrected drawings as requested in the Notice.

Please charge any deficiencies in fees or credit any overpayments to
Attorney's Deposit Account No. 23-1951.

Respectfully submitted,

Mary E. Goulet

Reg. No.: 35,884

McGuireWoods, LLP
1750 Tysons Blvd, Suite 1800
McLean, Virginia 22102
703-712-5396

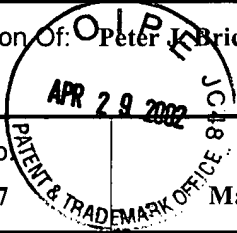
#3

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
07560001AA

In Re Application Of: Peter J. Brickfield, et al.

Serial No.
10/092,507



Filing Date
March 8, 2002

Examiner

Group Art Unit
2121

Invention: **AUTOMATIC ENERGY MANAGEMENT AND ENERGY CONSUMPTION REDUCTION,
ESPECIALLY IN COMMERCIAL AND MULTI-BUILDING SYSTEMS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
April 10, 2002
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
07560001AA

In Re Application Of: **Peter J. Brickfield, et al.**

Serial No.
10/092,507

Filing Date
March 8, 2002

Examiner

Group Art Unit
2121

Invention: **AUTOMATIC ENERGY MANAGEMENT AND ENERGY CONSUMPTION REDUCTION,
ESPECIALLY IN COMMERCIAL AND MULTI-BUILDING SYSTEMS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees

Total fees due **\$65.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
07560001AA

In Re Application Of: Peter J. Brickfield, et al.

Serial No.
10/092,507

Filing Date
March 8, 2002

Examiner

Group Art Unit
2121

Invention: **AUTOMATIC ENERGY MANAGEMENT AND ENERGY CONSUMPTION REDUCTION,
ESPECIALLY IN COMMERCIAL AND MULTI-BUILDING SYSTEMS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$65.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 23-1951
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 23-1951
A duplicate copy of this sheet is enclosed.



Signature

Dated: April 29, 2002

Mary E. Goulet
Reg. No. 35,884

McGuireWoods LLP
1750 Tysons Blvd., Suite 1800
McLean, VA 22102

(703) 712-5396

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

HAND DELIVERED

Typed or Printed Name of Person Mailing Correspondence

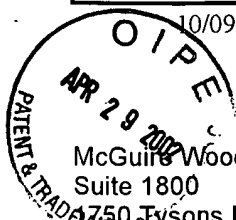
CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/092,507	03/08/2002	Peter J. Brickfield	07500001AA



McGuire Woods LLP
Suite 1800
4750 Tysons Blvd.
McLean, VA 22102

CONFIRMATION NO. 3726

FORMALITIES LETTER



OC000000007839620

Date Mailed: 04/10/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/01/2002 GGEBREGI 00000003 10092507

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 0P

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

E-Harsh

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE